CAP/752

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yasumasa KAWABE, et al.

Appln. No. 09/295,329

Group Art Unit: 1752

Filed: April 21, 1999

Examiner:

For: POSITIVE PHOTOSENSITIVE RESIN COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.



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INFORMATION DISCLOSURE STATEMENT U.S. Appln. No. 09/295,329

The enclosed documents were cited in the search report dated August 3, 1999, for the corresponding European application. A copy of that Search Report is enclosed for the Examiner's convenience.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Mark Boland

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Date: October 18, 1999

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STATEMENT UNDER 37 CFR § 1.97(e)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Mark Boland

Registration No. 32,197

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